

# RECEIVED CENTRAL FAX CENTER

OCT 2 8 2004

FOLEY & LARDNER LLP ATTORNEYS AT LAW

WASHINGTON HARBOUR 3000 K STREET, N.W., SUITE 500 WASHINGTON, D.C. 20007-5143 TEEPHONE: 202.672.5300 FACSIMILE: 202.672.5399 WWW.FOLEY.COM

# **FACSIMILE TRANSMISSION**

# Total # of Pages 16 (including this page)

TO: Examiner Dr. Gerald G. Leffers Jr.	PHONE #:	FAX #:
USPTO (Group Art Unit 1636)	571-272-0772	(703) 872-9306

From: Sean A. Passino

Date: October 28, 2004

Client/Matter No: 065691-0219

User ID No: Pending

#### MESSAGE:

Application No. 09/843,150

Subject:

Response to action dated 06-28-2004

## CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R § 1.8

I hereby certify that the attached paper, "Amendment and Reply under 37 CFR § 1.116" (13 pages), "Transmittal Form" (1 page), and "Fee Transmittal" (1 page) are being transmitted by facsimile to the U.S. Patent and Trademark Office on the above-identified date.

Sean A. Passino (45,943)

SEAP/ko

CONFIDENTIALITY NOTICE: THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENTS NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION, AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR ANY AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS MESSAGE IS STRICTLY PROMIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

Typed or printed name

# CENTRAL FAX CENTER

OCT 2 8 2004

PTO/\$B/21 (09-04) Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/843,150 TRANSMITTAL Filing Date April 27, 2001 First Named Inventor FORM Pleme Chambon Art Unit 1636 **Examiner Name** Gerald Leffers, Jr. (to be used for all correspondence after initial filing) Attorney Docket Number 065691/0219 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papara Fee Attached of Appeals and Interferences Appeal Communication to TC Patition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please identify Terminei Disclaimer below): Extension of Time Request Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Should additional fees be necessary in connection with the filing of this paper, or if a petition for extension of time is required for timely acceptance of same, the Commissioner is hereby Reply to Missing Parts/ authorized to charge Daposit Account No. 19-0741 for any such fees. Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.59 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Fotey & Landner LLP Signature Printed name Sean A. Passino Date Reg. No. 10-28-2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date 10-28-2004 Sean A. Passino (45,943)

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commission of Has To This ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

SURMITTED BY

Name (Print/Type)

Signature

PTO/SB/17 (10-04v2) Approved for use through 07/31/2006. OMB 0851-0032
U.S. Patent and Trademark Officer, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid QMB control number, Complete if Known FEE TRANSMITTAL **0**91843 **Application Number** for FY 2005 Filino Date RRE CHAMBON First Named Inventor Effective 10/01/2004, Patent fees are subject to annual revision. **Examiner Name** · (repara Lefeers.Jr Applicant claims small entity status. See 37 CFR 1.27 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 065691 10219 Attorney Dockel No METHOD OF PAYMENT (check ell that apply) FEE CALCULATION (continued) Money Order Check Credit card Other 3. ADDITIONAL FEES Large Entity , Small Entity Deposit Account Fee Fee Fee Description Deposit Code (\$) Code Fee Pald FOLEY GLAZONEZ LCP Account Number 2051 1051 130 65 Surcharge - late filing fee or oath Deposit Surcharge - late provisional filing fee or cover sheet 1052 50 2052 25 119-674 Name Non-English specification 1053 130 1053 130 e Director is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Charge fee(s) Indicated below Credit any overpayments 1804 920 1804 920" Requesting publication of SIR prior to Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge tee(s) indicated below, except for the filing fee Requesting publication of SIR after 1805 1.840 1805 1,840\* to the above-identified deposit account. Examiner action 110 2251 55 Extension for reply within first month 1251 **FEE CALCULATION** Extension for reply within second month 2252 1252 430 215 1. BASIC FILING FEE 2253 1253 980 Extension for reply within third month 490 arge Entity Small Entity Fee Paid F00 (\$) Fee Description 1254 1.530 2254 765 Extension for reply within fourth month 1,040 Extension for reply within fifth month 1255 2.080 2255 1001 790 2001 395 Utility filing fee 1401 340 2401 170 Notice of Appeal 1002 350 2002 175 Design filing fee 1402 340 170 Filing a brief in support of an appeal 2003 275 Plant filing fee 2402 1003 550 150 Request for oral hearing 2004 395 Reissue filing fee 1403 300 2403 1004 790 1.510 Petition to institute a public use proceeding 2005 60 Provisional filing fee 1451 1,510 1451 1005 160 55 Petition to revive - unavoidable 1452 110 2452 SUBTOTAL (1) (S) 1453 1,370 2453 685 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,370 2501 685 Utility issue fee (or reissue) e from Extra Claims Fee Paid 1502 2502 below 490 245 Design issue fee **Total Claims** X 1503 880 2503 330 Plant Issue fee Independent X 1460 130 1460 130 Petitions to the Commissioner Claims Multipla Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Small Entity arge Entity 1806 180 1806 180 Submission of Information Disclosure Strat Fee Description 40 Recording each patent assignment per Code (\$) Code (\$) 40 8021 8021 property (times number of properties) Claims in excess of 20 1202 18 2202 \$95 Filling a submission after final rejection (37 CFR 1.129(a)) 1809 790 2809 Independent ctaims in excess of 3 1201 68 2201 44 1203 300 2203 150 Mulliple dependent claim, if not paid 395 For each additional invention to be 1810 790 2810 examined (37 CFR 1.129(b)) 1204 88 2204 Relsaue Independent claims 595 Request for Continued Examination (RCE) over original patent 1801 790 2R01 900 Request for expedited examination of a design application " Relssue claims in excess of 20 1802 1802 2205 900 1205 18 and over original patent Other fee (specify) SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (5) or number previously paid, if greater, For Reissues, see above

> Telephone 202-295<del>-</del>4166 (Afformew/Accept) 10-28-2004

Registration No.

(Complete (if applicable))

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete. including gathering, preparing, and aubmitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

OCT 2 8 2004

**Patent** Atty. Dkt. No. 065691/0219

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Pierre Chambon et al.

Title:

Method for the Stable Inversion of DNA Sequence by Site-Specific

Recombination and DNA Vectors and Transgenic Cells Thereof

Appl. No.:

09/843,150

Filing Date: April 27, 2001

Examiner:

Gerald Leffers, Jr.

Art Unit:

1636

### AMENDMENT AND REPLY UNDER 37 C.F.R. § 1.116

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This paper responds to the Final Office Action mailed July 28, 2004. This paper is timely, as it is filed within the shortened statutory period set to expire on October 28, 2004.

Amendments to the Claims begin on page 3.

Remarks begin on page 6.

Please amend the application as follows:

#### Amendments to the Claims:

Claims 1 and 54 are currently amended.

Claims 2-4 are canceled, without prejudice or disclaimer.

This amendment adds, changes and/or deletes claims in this application. A detailed listing of all claims that are, or were, in the application, irrespective of whether the claim(s) remain under examination in the application, is presented. The text of all claims presently

Patent Application No. 09/843,150 Atty. Dkt. No. 065691/0219

under examination is presented below in the listing of claims, and all claims are presented with an appropriate defined status identifier.

Applicants respectfully request that this Amendment under 37 C.F.R. § 1.116 be entered by the Examiner, placing the pending claims in condition for allowance. Applicants submit that the proposed amendments of claims 1 and 54 neither raise new issues nor necessitate any additional search by the Examiner, since all of the elements and their relationships claimed were either carlier claimed or inherent in the claims as examined. Therefore, this Amendment should allow for immediate action by the Examiner.

Furthermore, Applicants respectfully point out that the final action by the Examiner presented some new arguments applying the Wild reference against the present invention. It is respectfully submitted that the entering of the Amendment would allow the Applicants to reply to the final rejections and place the application in condition for allowance.

Finally, Applicants submit that entering the amendment would place the claims in better form for appeal, should the Examiner dispute the patentability of the pending claims.